

# Can ChatGPT be an author? A study of artificial intelligence authorship policies in top academic journals

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## Abstract

Academic publishers have quickly responded to the impact of Artificial Intelligence (AI) tools on authorship and academic integrity. However, there remains a lack of understanding about AI authorship policies and the attitude of academic journals towards these tools. This study aims to address this gap by examining the AI authorship policies of 300 top academic journals. Over half of the journals examined have an AI authorship policy and guidelines for acknowledging AI usage in manuscript preparation. These acknowledgments are typically made in the methods or acknowledgement sections, though some journals have introduced a new, special section on AI usage. The study also found that AI authorship policies may differ depending on the publisher and discipline of the journal. These results are useful for publishers, editors, and researchers who want to learn more about how academic journals are dealing with the emergence of large language models and other AI tools in scholarly communications.

**Keywords:** Artificial Intelligence, Authorship, Academic Journals, Publisher Policies, ChatGPT

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Use of artificial intelligence (AI) tools, especially large language models like ChatGPT, has grown tremendously in the past few months. These tools have the potential to dramatically transform academic publishing, where they can be used positively to improve the quality of written works or abused to generate papers full of misinformation and phantom references (Foster, 2019). Justifiably, there is growing concern about the potential implications of these AI tools for authorship of academic manuscript and the impact on the integrity of scientific publications. This study examines how academic journals have adjusted to the new academic reality of these AI tools, by analyzing the AI authorship policies that have emerged among top publishers and how they guide the usage and acknowledgement of AI technologies. These findings should offer both clarity and guidance to other publishers, journal editors, and authors as they navigate this emerging landscape.

### **Literature Review**

Generative AI – artificial intelligence applications that are capable of generative new content such as video, images, and text – have the potential to revolutionize scholarly writing and publishing (Lin, 2023; Liebrecht et al., 2023). The world appears to be situated on the precipice of the Fourth Industrial Revolution, which will be characterized by increased automation and deeper integration of technology and humanity (Chaka, 2020; Lund, 2021; Oke & Fernandes, 2020). With the late 2022 release of ChatGPT by OpenAI, many scholars have begun to realize the potential for this paradigm shift to materialize within a mere handful of months (Bozkurt, 2023; Doshi et al., 2023; Sallam, 2023). For scholarly publishers, the emergence of these AI technologies place them in a predicament: to what extent should they embrace these technologies, knowing of the potential risks.

Nature (2023) and Science (2023), two of the world's most prestigious scholarly journals, are among the publishers that expressly forbid the inclusion of AI and large language models as authors. These AI tools cannot freely approve content and consent to the submission of a manuscript, and are therefore more like tools such as Microsoft Word than true intelligent agents that approximate human capabilities (Salvagno et al., 2023). However, not all academics agree with this approach to AI as an author. Jenkins and Lin (2023) refute the positions of these journal publishers and outline procedures for determining how AI-generated content should be credited. They note the importance of two factors: continuity and creditworthiness. Continuity refers to the extent to which AI contributions are present in the final publication, while creditworthiness refers to the extent to which the contributions could be considered worthy of publication in their own right. Other scholars cite current publishing standards, such as those by the International Committee of Medical Journal Editors, to justify inclusion of AI as authors (Polonsky & Rotman, 2023; Rahimi & Abadi, 2023).

Among academics, early publications seem to indicate that the use and acknowledgment of AI tools as authors in scholarly writing occupies some middle ground (Dergaa et al., 2023; Kooli, 2023; Yeo-Teh & Tang, 2023). These individuals acknowledge that AI tools can have value for enhancing the quality of manuscripts, but that they are capable of making mistakes like producing errant information and plagiarism (Lubowitz, 2023; Thorp, 2023) and are incapable of taking responsibility for the content produced (Lee, 2023; van Dis et al., 2023). As such, a

common solution that has been proposed is to avoid including AI tools in author lists but do acknowledge their contributions within the text of the manuscript, as one would do for an analytical software such as SPSS or NVivo.

With a few notable exceptions, most academics appear to be okay with the use of AI tools in general (Bhatia & Kulkarni, 2023; Donmez et al., 2023). While these tools are better at some aspects of scholarly writing (question generation, abstract production) than others (literature reviews), they typically are helpful in increasing quality of scholarly communications (Alkaissi & McFarlane, 2023; Dergaa et al., 2023). Nonetheless, some ethical questions remain. Access and usage of these AI tools represents a significant advantage compared to researchers who do not or cannot employ them, similar to access to quality databases and software packages (Dwivedi et al., 2023; Liebreinz et al., 2023). By allowing usage of these tools, are journals/publishers exacerbating divides among authors? This seems like a potential motivation behind some journals avoiding the AI question or prohibiting this technology's use (Perkins, 2023).

Given the significant variation in positions regarding the use of AI tools in scholarly publishing, a survey of current policies and practices among scholarly journals will be useful for illuminating how the major disseminators of scholarly knowledge feel about these developments and the appropriate path for addressing the authorship question. In this study, 300 academic journals AI authorship policies are examined in order to unveil patterns and trends in this emerging issue. Specifically, the following questions are addressed in this study:

- How many of these 300 examined journals have a specific policy regarding the use of AI tools like ChatGPT?
- What percentage of the examined policies allow for the use of ChatGPT for improving manuscript quality, and what percentage has prohibited it?
- What is the stance of these journals regarding the use of AI tools like ChatGPT as an author for a manuscript?
- In which sections of a manuscript do these policies require the acknowledgment of AI use?
- What is the sentiment of language included in these AI authorship policies?
- What common terms and other topics are covered within these AI policies?

## **Methods**

This study aimed to examine and analyze the AI authorship policies of top academic journals. The researchers analyzed the top 300 academic journals ranked by ScimagoJR indexing factor as of April 1, 2023. A complete list of these 300 journals is included as an appendix. To extract the AI authorship policies, they relied on a combination of automated and manual techniques. NVivo software was used for calculating sentiment analysis and term frequencies. The researchers worked together to tally other aspects of policy content, such as the allowance or prohibition of AI tool usage and where this usage should be acknowledged. The data collected were then analyzed and tallied to identify broad trends in AI authorship policies, variation among publishers and disciplines, and implications for academic journals that are seeking to develop an

AI authorship policy of their own. The method of content analysis used in this study is similar to that used in previous studies on scholarly journal policies, such as Christian et al. (2020).

## Results

Of the 300 journals examined on April 1, 2023, 176 of them (58.7%) had a specific policy posted online that pertained to the use of AI tools like ChatGPT. Of these 176 policies, 170 (96.6%) allowed for the use of ChatGPT for improving the quality of manuscripts, while 6 policies (3.4%) prohibited any use of these models. However, when it comes to whether AI tools like ChatGPT should be included as an author, nearly all policies (98.9%) explicitly mention that these tools should NOT be included in the authorship list, whereas just two policies (1.1%) do not specifically prohibit the inclusion of an AI tool like ChatGPT as an author.

Displayed in Table 1 are the specific sections of the research manuscript where usage of an AI tool must be mentioned, according to the journal policies. Note that 26 policies mentioned multiple places where usage of these tools could be mentioned. The methods section was, by far, the most common section, followed by the acknowledgements. About 10% of policies mentioned a new, special section where the usage of ChatGPT and similar language models should be acknowledged. These sections may have names like “AI Acknowledgement” or “AI Disclosure” and generally were to be included directly before the reference section of a manuscript.

**Table 1. Section Where AI Use Should be Acknowledged**

Section to Specify AI Use	Frequency	Percentage
Methods	88	43.6%
Acknowledgements	51	25.2%
Not Specified	37	18.3%
Special Section	21	10.4%
Cover Letter	5	2.5%

Sentiment analysis was performed for all 176 policies, with the net sentiment calculated for each policy. 46.7% of policies had a net negative sentiment, while 53.3% had a net positive sentiment; however, only 1% of the policies had a very negative sentiment (greater than -0.3 on a scale of -1.0 to 1.0) and 16.1% had a very positive sentiment (greater than 0.3). Sentiment in the AI authorship policies trends towards neutral, with a slight positive lean. Many of the policies emphasize the value of large language models for improving the quality of written works, but take a firm stance that these technologies cannot be considered authors and their use must be acknowledged within the paper. Policies of journals within the Science family of publications have the greatest negative sentiment, as the use of large language models and tools like ChatGPT has been prohibited by these journals.

Table 2 shows the term frequencies for the 176 AI authorship policies. The most commonly occurring, meaningful term is “AI-assisted technology.” Other commonly occurring terms give indication of where and how the use of AI assistance should be acknowledged. The AI authorship policies tend to require acknowledgement of any AI use in the writing process, and often explicitly mention the section where the acknowledgement should be made: methods,

acknowledgements, a new special section. These policies also focus on describing or defining what does or does not classify as "artificial intelligence" and what parts of the paper can be supported by these technologies. Many of the policies additionally stress that 'The author is responsible for all content in the manuscript, including that which is generated by AI.'

**Table 2. Term Frequencies for AI Authorship Policies**

<b>Terms in Policies</b>	<b>Frequency</b>	<b>Percentage</b>
Acknowledgement	115	12.6%
AI-assisted technology	206	22.5%
Artificial Intelligence	62	6.8%
Authorship	124	13.6%
Authorship Criteria	59	6.5%
Methods Section	71	7.8%
Responsibility	77	8.4%
Suitable Alternative Section	57	6.2%
Writing Processes	143	15.6%

## Discussion

The findings of this study suggest that awareness of the unique risks that AI-assisted technologies like ChatGPT pose to the quality and integrity of scholarly publishing is fairly high. Over half of the 300 academic journals examined in this study already had an AI authorship policy in place, only a few short months after the launch of the ChatGPT. It is evident that the editors had carefully considered their response to these technologies, as the policies were detailed (an average of 151 words in length) and mentioned details including whether these tools could be included as authors on academic manuscripts (nearly all journals indicated “no”) and where the use of the tools should be acknowledged (usually the methods and/or acknowledgements sections). Although there was agreement on some issues, such as the AI authorship credit issue, there were other aspects, such as the extent to which any use of AI tools is encouraged or discouraged, that varied significantly, usually depending on the publisher rather than the individual journal. For instance, *Science* journals all took a staunch no tolerance approach to the use of AI tools.

Some AI authorship policies offered particularly novel approaches to handling the issues posed by large language models. For example, 10% of journal policies mentioned a new, special section of some kind that could be used for acknowledging the usage of AI tools in the preparation of a manuscript. A few journals acknowledged that these tools could be a boon for academic writing, as they will improve the quality of manuscripts and serve as an equalizing force for those whose manuscripts may have been rejected before on the basis of quality of writing, not on the merits of the actual research. Benefits of AI tools for creating a more-level playing field for researchers have previously been discussed by researchers including Dergaa et al. (2023), Homolak (2023), and Lund et al. (2023). As noted by Lund and Wang (2023), AI tools like ChatGPT have the power to advance academia in both anxiety-provoking *and* exciting and positive new ways.

Notably, the presence of an AI authorship policy, as well as some of the content of the policies, varied based on the publisher of the journals. For instance, all 45 Nature Publishing Group journals (100%) had a uniform AI authorship policy, as did all 15 journals published by Cell Press, all 25 by Elsevier, all 6 by Lancet, and all 6 by the American Medical Association, among others. However, not all journals published by Wiley-Blackwell (50%) or the American Association for the Advancement of Science (80%) had a policy. Similarly, the place where usage of AI tools should be acknowledged varied based on publisher, as 100% of Nature publications stated the “methods” section, while for a publisher like the American Chemical Society, 20% of journals stated the “methods” section, 60% stated the “acknowledgements” section, and 20% stated both the “methods” and “acknowledgements” section. This may be an indication of which publishers have an organization-wide policy versus and journal-by-journal policy process.

Variation based on disciplinary focus is also evident. Among those journals that the researchers determined to focus squarely on the natural sciences (physics, biology, chemistry, medicine), 71.3% of journals had an AI authorship policy. For journals without a clear natural sciences focus, only 46.9% had an AI authorship policy. Similarly, most natural sciences publications requested for the AI acknowledgement to be included in the methods section, while those journals that encouraged a new, special section were typically associated with the social sciences rather than natural sciences. This variation may offer some interesting insight into how scholars from different disciplines view the role and impact of AI in academic publishing.

As this analysis focused on “top” academic journals, it is not clear to what extent AI authorship policies have emerged among other journals, including within specific disciplines where the usage of AI authorship tools may potentially play a substantial role in shaping future scholarly discourse. There are several stimulating articles discussing the impact of AI tools like ChatGPT on specific disciplines, such as physics (Gregorcic & Pendrill, 2023), journalism (Pavlik, 2023), and the medical sciences (Eysenbach, 2023); however, further analysis of the AI authorship policies of journals within these disciplines is still needed. This current research study may serve as a guide as to the types of information that researchers may want to examine when conducting such studies. It should also be noted that we had to select a cut-off point at which we collected our data (April 1, 2023). It is not only possible, but probable, that some AI authorship policies have been updated in the time between data collection and publication of this manuscript. This research serves as a time capsule of sorts as to how policy relating to AI authorship emerged and evolved over time. Future research can serve as a point of comparison to the findings of this study.

### **Guidance for Academic Journals Seeking to Develop an AI Authorship Policy**

Based on the analysis above, we make the following recommendations to academic journals seeking to develop their own AI authorship policies:

- AI tools should likely not be considered authors on scholarly manuscripts.
- Academic journals should clearly define what qualifies as artificial intelligence and for what sections/purposes it may be used.

- Acknowledgement of the use of AI tools should likely be required in the manuscript, and journals should clearly define where this acknowledgement should be made. The methods section is the most common section, followed by the acknowledgements section.
- Academic journals should emphasize that the author(s) are responsible for all content in the manuscript, including that which is generated by AI tools.
- Usage of common terms like “AI-assisted technology,” “AI authorship policy,” and “Author responsibilities” may be helpful to ensure that the policy is clear and unambiguous.

### **Conclusion**

As artificial intelligence tools continue to revolutionize all aspects of our daily lives, it is important that our policies and procedures adapt to ensure the quality and integrity of our disciplines. This study reveals that many top academic journals are actively working to address the possible pitfalls and issues that may emerge in this new academic reality. It is vital that these efforts continue as new and further-advanced language models are made available to the public. By taking discretion out of the hands of reviewers and editorial assistants and placing responsibility for these policies into the hands of the editors and editorial boards, along with major academic publishers, it is possible to ensure that major breaches in public trust in science do not occur as a result of the influence of these technologies.

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## **Appendix. 300 Journals Examined in this Study**

Ca-A Cancer Journal for Clinicians

Nature Reviews Molecular Cell Biology

Quarterly Journal of Economics

Cell

MMWR Recommendations and Reports

New England Journal of Medicine

Nature Medicine

Nature Reviews Materials

Nature Reviews Genetics

Reviews of Modern Physics

American Economic Review

Nature Biotechnology

Chemical Reviews

Journal of Political Economy

Nature

Annual Review of Immunology

Administrative Science Quarterly

Nature Reviews Immunology

Nature Reviews Cancer

Nature Energy

Nature Genetics

Morbidity and Mortality Weekly Report

Journal of Finance

Lancet

Review of Economic Studies

Academy of Management Annals

Science

Chemical Society Reviews

Immunity

IEEE Communications Surveys and Tutorials

Foundations and Trends in Machine Learning

Econometrica

Annual Review of Biochemistry

Physiological Reviews

World Psychiatry

Nature Catalysis

Annual Review of Astronomy and Astrophysics

Living Reviews in Relativity

Nature Materials

Nature Methods

Nature Neuroscience

Science immunology

Nature Reviews Chemistry

Nature Reviews Clinical Oncology

Lancet Oncology

Nature Nanotechnology

Lancet Neurology

Review of Financial Studies

Energy and Environmental Science

Lancet Public Health

Nature Reviews Drug Discovery

Lancet Respiratory Medicine

Nature Photonics

Academy of Management Journal

Joule

Journal of Financial Economics  
Lancet Infectious Diseases  
MMWR Surveillance Summaries  
Annual Review of Pathology: Mechanisms of Disease  
American Economic Journal: Applied Economics  
Cancer Cell  
Molecular Cell  
Nature Cell Biology  
American Economic Journal: Macroeconomics  
Lancet Diabetes and Endocrinology  
Journal of the American College of Cardiology  
Nature Microbiology  
Astronomy and Astrophysics Review  
Annual Review of Plant Biology  
Annual Review of Genetics  
Journal of Economic Literature  
Strategic Management Journal  
Annual Review of Condensed Matter Physics  
Nature Reviews Gastroenterology and Hepatology  
Journal of Clinical Oncology  
Cell Host and Microbe  
Genome Biology  
Cell Metabolism  
Nature Immunology  
Nature Reviews Microbiology  
Nature Reviews Physics  
Clinical Microbiology Reviews  
Progress in Materials Science

Lancet Psychiatry  
Lancet Global Health  
Advances in Physics  
Annual Review of Psychology  
Advanced Materials  
Annual Review of Organizational Psychology and Organizational Behavior  
American Economic Journal: Economic Policy  
Annals of Oncology  
Nature Reviews Endocrinology  
Reviews of Geophysics  
Nature Structural and Molecular Biology  
Annual Review of Public Health  
Nature Reviews Disease Primers  
Nature Chemistry  
JAMA Cardiology  
Nature Electronics  
Circulation  
IEEE Transactions on Pattern Analysis and Machine Intelligence  
Review of Economics and Statistics  
Nucleic Acids Research  
Advanced Energy Materials  
Nature Reviews Neuroscience  
Cell Stem Cell  
Gut  
Cell Research  
Journal of Economic Perspectives  
Nature Reviews Neurology  
Gastroenterology

Personality and Social Psychology Review  
Academy of Management Review  
Nature Physics  
Annual Review of Fluid Mechanics  
Neuron  
Psychological Bulletin  
JAMA Oncology  
Acta Crystallographica Section D: Structural Biology  
Advances in Optics and Photonics  
Accounts of Chemical Research  
Journal of Marketing  
Annual Review of Clinical Psychology  
Trends in Cell Biology  
ACS Energy Letters  
Journal of Hepatology  
Journal of Accounting and Economics  
Annual Review of Neuroscience  
Journal of Experimental Medicine  
Annual Review of Biophysics  
ACM Transactions on Graphics  
Manufacturing and Service Operations Management  
Journal of Management  
Review of Corporate Finance Studies  
Journal of Consumer Research  
Nature Protocols  
Cell Systems  
Living Reviews in Solar Physics  
Molecular Cancer

Review of Educational Research  
Organization Science  
Endocrine Reviews  
Annual Review of Economics  
International Journal of Computer Vision  
Progress in Energy and Combustion Science  
Physical Review X  
Nature Reviews Cardiology  
Annual Review of Physiology  
NBER Macroeconomics Annual  
Annals of Mathematics  
Fungal Diversity  
Lancet Rheumatology  
Nature Reviews Nephrology  
Annual Review of Nuclear and Particle Science  
Marketing Science  
Science Robotics  
Genes and Development  
Diabetes Care  
Molecular Systems Biology  
Journal of Applied Psychology  
Materials Science and Engineering: R: Reports  
Annual Review of Microbiology  
Annual Review of Cell and Developmental Biology  
Annals of Statistics  
Physics Reports  
IEEE Journal on Selected Areas in Communications  
Materials Today



JAMA Neurology  
Journal of the Royal Statistical Society. Series B: Statistical Methodology  
Annual Review of Ecology  
Trends in Cognitive Sciences  
Nature Metabolism  
Nature Cancer  
European Urology  
Systematic Biology  
Nature Climate Change  
Annual Review of Entomology  
Cancer Discovery  
IEEE Transactions on Evolutionary Computation  
JAMA - Journal of the American Medical Association  
Chem  
IEEE Wireless Communications  
Lancet Digital Health  
Progress in Retinal and Eye Research  
Cell Reports Medicine  
American Journal of Respiratory and Critical Care Medicine  
American Sociological Review  
Lancet Gastroenterology and Hepatology  
Journal of Accounting Research  
JACC: Cardiovascular Imaging  
Progress in Polymer Science  
Journal of Business Venturing  
American Political Science Review  
Nature Sustainability  
JAMA Internal Medicine

Reports on Progress in Physics  
Journal of the American Chemical Society  
Genome Research  
American Economic Journal: Microeconomics  
Ecology Letters  
Annual Review of Genomics and Human Genetics  
Nature Biomedical Engineering  
EMBO Journal  
Nature Chemical Biology  
JAMA Psychiatry  
Pharmacological Reviews  
Journal of the European Economic Association  
Nature Ecology and Evolution  
Journal of Clinical Investigation  
Science Translational Medicine  
Light: Science and Applications  
JACC: Heart Failure  
Annual Review of Political Science  
Trends in Ecology and Evolution  
Cement and Concrete Research  
Annual Review of Sociology  
Journal of Labor Economics  
Journal of the American Mathematical Society  
Journal of Marketing Research  
Annual Review of Financial Economics  
Annals of the Rheumatic Diseases  
Nature Geoscience  
Molecular Biology and Evolution

Lancet HIV  
Psychological Methods  
Studies in Mycology  
IEEE Transactions on Smart Grid  
Journal of Business and Economic Statistics  
Hepatology  
European Journal of Heart Failure  
Annual Review of Medicine  
Nature Human Behaviour  
Acta Neuropathologica  
Advances in Computers  
Organizational Research Methods  
Lancet Haematology  
Journal of Financial Intermediation  
Signal Transduction and Targeted Therapy  
IEEE Communications Magazine  
Angewandte Chemie - International Edition  
Economic Journal  
Management Science  
ACM Computing Surveys  
Journal of Public Economics  
Personnel Psychology  
Human Reproduction Update  
Genome Medicine  
Alzheimer's and Dementia  
IEEE Network  
Review of Asset Pricing Studies  
American Journal of Human Genetics

Trends in Chemistry

Journal of Infection

Brookings Papers on Economic Activity

Progress in Particle and Nuclear Physics

Advanced Functional Materials

American Journal of Political Science

Leadership Quarterly

Wiley Interdisciplinary Reviews: Computational Molecular Science

Nature Plants

Trends in Immunology

Publications Mathematiques de l'Institut des Hautes Etudes Scientifiques

Journal of Extracellular Vesicles

Energy Storage Materials

Nature Communications

Cell Reports

Annual Review of Virology

Strategic Entrepreneurship Journal

Blood

Analytic Methods in Accident Research

Annual Review of Marine Science

Immunological Reviews

Journal of Monetary Economics

Journal of the National Cancer Institute

eLife

Journal of Thoracic Oncology

Applied Physics Reviews

Cold Spring Harbor perspectives in biology

Molecular Neurodegeneration

Annual Review of Materials Research

Political Analysis

Circulation Research

Developmental Cell

IEEE Signal Processing Magazine

Nano Energy

Proceedings of the IEEE

Accounting Review

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